#### BEFORE THE ARIZONA MEDICAL BOARD

In the Matter of

Fred E. Herzer, M.D.

Holder of License No. 16964
For the Practice of Allopathic Medicine
In the State of Arizona

Case No. MD-09-1398A

# ORDER FOR LETTER OF REPRIMAND AND CONSENT TO THE SAME

Fred E. Herzer, M.D. ("Respondent") elects to permanently waive any right to a hearing and appeal with respect to this Order for Letter of Reprimand; admits the jurisdiction of the Arizona Medical Board ("Board"); and consents to the entry of this Order by the Board.

#### FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of allopathic medicine in the State of Arizona.
- 2. Respondent is the holder of license number 16964 for the practice of allopathic medicine in the State of Arizona.
- 3. The Board initiated case number MD-09-1398A after receiving a complaint regarding Respondent's care and treatment of a 29 year-old female patient (MM) alleging inappropriate prescribing.
- 4. Pharmacy records revealed that from April 2008 through March 2009, Respondent prescribed multiple medications to MM, his daughter, including Clonazepam, Carisoprodol, Hydrocodone, Oxycodone, Acetaminophen-Cod, Somnote, Zolpidem, and Concerta. On May 6, 2009, MM committed suicide. According to the Police Report, there were several prescription bottles inside the room where MM was found along with some loose broken and unbroken capsules on the floor. According to the autopsy, the cause of

death was ascribed to asphyxia. The toxicology report indicated that MM's blood work was positive for ethanol, antidepressants, and stimulants.

- 5. The standard of care prior to prescribing medication requires a physician to perform a complete physical examination and obtain a list of other medications being prescribed to the patient.
- 6. Respondent deviated from the standard of care by failing to perform a completed physical examination and by failing to obtain a list of MM's other medications before writing a prescription.
- 7. Some medications that Respondent prescribed are indicated for a short use.

  Occasionally, alone, and more frequently in combination, these medications may lead to drug-seeking behavior.
- 8. A physician is required to maintain adequate legible medical records containing, at a minimum, sufficient information to identify the patient, support the diagnosis, justify the treatment, accurately document the results, indicate advice and cautionary warnings provided to the patient and provide sufficient information for another practitioner to assume continuity of the patient's care at any point in the course of treatment. A.R.S. §32-1401(2). Respondent's records were inadequate because he did not keep a medical record for MM.

## **CONCLUSIONS OF LAW**

- The Board possesses jurisdiction over the subject matter hereof and over Respondent.
- 2. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. §32-1401(27)(e) ("[f]ailing or refusing to maintain adequate records on a patient").

- 3. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. §32-1401(27)(h) ("[p]rescribing or dispensing controlled substances to members of the physician's immediate family").
- 4. The conduct and circumstances described above constitute unprofessional conduct pursuant to A.R.S. § 32-1401(27)(q) ("[a]ny conduct or practice that is or might be harmful or dangerous to the health of the patient or the public").

### **ORDER**

IT IS HEREBY ORDERED THAT Respondent is issued a Letter of Reprimand.

DATED AND EFFECTIVE this 10th

. 2010.



ARIZONA MEDICAL BOARD

Lisa S. Wynn
Executive Director

## **CONSENT TO ENTRY OF ORDER**

- 1. Respondent has read and understands this Consent Agreement and the stipulated Findings of Fact, Conclusions of Law and Order ("Order"). Respondent acknowledges he has the right to consult with legal counsel regarding this matter.
- Respondent acknowledges and agrees that this Order is entered into freely and voluntarily and that no promise was made or coercion used to induce such entry.
- 3. By consenting to this Order, Respondent voluntarily relinquishes any rights to a hearing or judicial review in state or federal court on the matters alleged, or to challenge this Order in its entirety as issued by the Board, and waives any other cause of action related thereto or arising from said Order.

- 4. The Order is not effective until approved by the Board and signed by its Executive Director.
- 5. All admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, said admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, civil or criminal court proceeding, in the State of Arizona or any other state or federal court.
- 6. Upon signing this agreement, and returning this document (or a copy thereof) to the Board's Executive Director, Respondent may not revoke the consent to the entry of the Order. Respondent may not make any modifications to the document. Any modifications to this original document are ineffective and void unless mutually approved by the parties.
- 7. This Order is a public record that will be publicly disseminated as a formal disciplinary action of the Board and will be reported to the National Practitioner's Data Bank and on the Board's web site as a disciplinary action.
- 8. If any part of the Order is later declared void or otherwise unenforceable, the remainder of the Order in its entirety shall remain in force and effect.
- 9. If the Board does not adopt this Order, Respondent will not assert as a defense that the Board's consideration of the Order constitutes bias, prejudice, prejudgment or other similar defense.
- 10. Any violation of this Order constitutes unprofessional conduct and may result in disciplinary action. A.R.S. § § 32-1401(27)(r) ("[v]iolating a formal order, probation, consent agreement or stipulation issued or entered into by the board or its executive director under this chapter") and 32-1451.

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2	1000	DATED: 4/22/10
3	Fred E. Herzer, M.D.	, ,
4	EXECUTED COPY of the foregoing	ng mailed
5	this day of 2	010 to:
6	Fred E. Herzer, M.D. Address of Record	
7   8	ORIGINAL of the foregoing filed this Oday of 2010	) with:
9	Arizone Medical Board	
10	9545 E. Doubletree Ranch Road Scottsdale, AZ 85258	
11	Mus Beny	
12.	Arizona Medical Board Staff	
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